

Investment and Financial Consultants

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NADLER FINANCIAL GROUP, INC.

PRIVACY NOTICE

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It is the policy of NFG to restrict access to all current and former clients' information (i.e., information and records pertaining to personal background, investment objectives, financial situation, tax information/returns, investment holdings, account numbers, account balances, etc.) to those employees and affiliated/nonaffiliated entities who need to know that information in order to provide products or services to the client. NFG may disclose the client's information if NFG is: (1) previously authorized to disclose the information to individuals and/or entities not affiliated with NFG, including, but not limited to the client's other professional advisors and/or service providers (i.e., attorney, accountant, insurance agent, brokerdealer, investment adviser, account custodian, etc.); (2) required to do so by judicial or regulatory process; or (3) otherwise permitted to do so in accordance with the parameters of applicable federal and/or state privacy regulations. The disclosure of information contained in any document completed by the client for processing and/or transmittal by NFG in order to facilitate the commencement/continuation/termination of a business relationship between the client and a nonaffiliated third party service provider (i.e., broker-dealer, investment adviser, account custodian, insurance company, etc.), including information contained in any document completed and/or executed by the client for NFG (i.e., advisory agreement, client information form, etc.), shall be deemed as having been automatically authorized by the client with respect to the corresponding nonaffiliated third party service provider.

NFG permits only authorized employees and affiliates who have signed a copy of NFG's Privacy Policy to have access to client information. Employees violating NFG's Privacy Policy will be subject to NFG's disciplinary process. Additionally, whenever NFG hires other organizations to provide services to NFG's clients, NFG will require them to sign confidentiality agreements and/or the Privacy Policy.

Should you have any questions regarding the above, please contact Michael A. Nadler, Chief Compliance Officer.